

Combining String Abstract Domains for JavaScript Analysis: An Evaluation

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Abstract. Strings play a central role in JavaScript and similar scripting languages. Owing to dynamic features such as the `eval` function and dynamic property access, precise string analysis is a prerequisite for automated reasoning about practically any kind of runtime property. Although the literature presents a considerable number of abstract domains for capturing and representing specific aspect of strings, we are not aware of tools that allow flexible combination of string abstract domains. Indeed, support for string analysis is often confined to a single, dedicated string domain. In this paper we describe a framework that allows us to combine multiple string abstract domains for the analysis of JavaScript programs. It is implemented as an extension of SAFE, an open-source static analysis tool. We investigate different combinations of abstract domains that capture various aspects of strings. Our evaluation suggests that a combination of few, simple abstract domains suffice to outperform the precision of state-of-the-art static analysis tools for JavaScript.

1 Introduction

JavaScript is a highly dynamic and flexible language. Flexibility has a price: features such as dynamic property access and code execution, prototype-based inheritance, profligate coercion, and reflection combine to make the static analysis of JavaScript very challenging.¹

Precise reasoning about *strings* is especially critical in JavaScript analysis. A coarse treatment of string values, and in particular of property names, may result in an inefficient and less than useful analysis. For example, consider the dynamic access `obj[x]` for property name `x` of object `obj`. Since the value of `x` can be unknown (or difficult to know) at compile time, a rough static analysis may approximate `x` with the set of *all* possible string values. This can lead to a

¹ In JavaScript, an object is a map that associates *property names* to values. The *prototype* of an object is instead the object from which it inherits (possibly recursively) methods and properties. Each object has a property named `__proto__` (standardized in ECMAScript6, even if deprecated) which points to its prototype.

dramatic loss of precision (and, consequently, of efficiency) since `obj[x]` would point to *any* property of `obj` and any property of its prototype.

In this paper we consider static analysis of string values by means of abstract interpretation [8], a well-known theory of reasoning with approximations. Informally, each JavaScript string is approximated by an abstract counterpart, an “abstract” string. The abstract values used for abstracting a “concrete” string constitute a string abstract domain, or just *string domain*.

State-of-the-art JavaScript static analysers such as TAJIS [11], JSAI [13], and SAFE [15] use similar, yet slightly different, abstract domains for representing string values. However, each commits to *one* single string domain defined *ad hoc* for JavaScript analysis. The precision of such JavaScript-specific domains is often limited, e.g., for most of the web applications relying on the well-known `jQuery` library [12], owing to the inherently dynamic nature of such libraries. On the other hand, the literature contains proposals for a large variety of general-purpose string domains [6, 16, 14, 7, 17].

Here we describe a usable and open-source tool which implements and integrates several string domains. The tool is built on top of SAFE and we refer to it as `SAFEstr`. It allows a user to use *combinations* of different string domains for the analysis of JavaScript programs. Analysis with `SAFEstr` is not limited to a single specific string domain but allows arbitrary combination of string domains. This is useful, since a large number of string abstract domains have been proposed. It facilitates experiments with different combinations and investigation into the (complementary) advantages of different domains.

We have validated the performance of `SAFEstr` on different JavaScript programs, most of which rely on the `jQuery` library. Our experiments suggest that the use of a single domain often leads to a severe loss of precision, whereas a suitable combination of relatively simple string domains can match, and sometimes outperform the precision of state-of-the-art JavaScript analysers.

The contributions of this paper are:

- a detailed discussion of state-of-the-art string domains, useful also in contexts beyond JavaScript, that we have integrated into `SAFEstr`;
- a description of `SAFEstr`, a major extension and re-engineering of SAFE which enables the tuning of different string abstract domains;
- an empirical evaluation of `SAFEstr` on different JavaScript benchmarks that shows the impact and the benefits of combining string domains.

Paper Structure. Section 2 recapitulates string analysis concepts and gives examples. Section 3 discusses a range of string domains we have implemented and evaluated. Section 4 describes `SAFEstr`. Section 5 reports on the experimental results. Section 6 discusses related work and Section 7 concludes.

2 Preliminaries

JavaScript is a high-level, dynamic, and untyped language. It has been standardised in the ECMAScript language specification [10]. The flexibility of JavaScript is a double-edged sword that might surprise the user with unexpected behaviours.

```

var obj = {0: "pr", 1: "to"};
obj[0/0] = "_";
obj[Math.pow(2, 1024)] = function(i) {
  return obj[obj[i]/i] + obj["0"] + "o" + obj[i] + obj[obj[-i]]
};
obj.undefined = obj[0 * 1/0] + obj[1/0 - 1/0]
var res = "_" + obj[1/0](1);

```

Fig. 1: Unusual but legal property access in JavaScript

```

function lookup(o, x) {
  while (x.length < N)
    x = "0" + x;
  return o[x]
}
var v = lookup(obj, "123");

```

```

function update(o, x, v) {
  while (x.length < N)
    x = "0" + x;
  o[x] = v
}
update(obj, "123", "foo");

```

Fig. 2: A lookup function (left) and an update function (right)

Consider the snippet of code in Figure 1. The value of variable `res` will be the string `__proto__`. This is due to the coercion of numbers to strings for property access, including not only digits but also special literals. For instance, the numerical expressions `1/0` and `Math.pow(2, 1024)` both evaluate to the Infinity string literal, while `0/0` turns into the string `NaN`.

In this case, the value of `res` can be statically determined since all the accesses to the properties of `obj` are known at compile time. Unfortunately, as we shall see, this is not always the case.

Example 1. Consider Figure 2 (left). The call to `lookup` returns the value of property `0n123` of object `obj` (that we assumed defined somewhere in the code) where $n = \max\{0, N - 3\}$ and N is a value unknown at compile time (it may be a random-generated number or an input value provided by the user). This function might encode the lookup to a dictionary where the keys are numbers of at least N digits. A precise string analysis should be able to infer that `x = 0n123`. Unfortunately, static analysis often results in over-approximations and thus imprecision, so it is possible that a sound analysis says that `x` can be any string and therefore the function `lookup(obj, x)` points to any of the properties of `obj`, including all the properties of the prototype hierarchy of `obj`. □

Example 2. Dynamic writes can be even nastier, since JavaScript enables to override properties dynamically. Consider the code in Figure 2 (right) which acts analogously to `lookup`. The `update` function might encode the update of a value in a dictionary where the input key is padded to length N with $n = \max\{0, N - 3\}$ leading zeros. In this case `obj[0n123]` is set to value `"foo"`. If the analysis can not say anything about `x`, we have a situation where any property of object `obj` (including special property `__proto__`) can be *overwritten* by `"foo"`. In our example, this raises a false alarm that a coarse analysis cannot avoid. □

For the static analysis of string-manipulation we take advantage of the *abstract interpretation* framework [8].

Let Σ be the set of characters allowed in JavaScript. We define the *concrete domain* as the lattice $\langle \mathcal{P}(\Sigma^*), \sqsubseteq, \emptyset, \Sigma^*, \cap, \cup \rangle$ where Σ^* is the set of all the strings of Σ , $\mathcal{P}(\Sigma^*)$ is its powerset, and $\sqsubseteq, \emptyset, \cap$, and \cup have the usual set-theoretic meanings. We define a *string (abstract) domain* as a lattice $\langle \mathcal{S}, \sqsubseteq, \perp, \top, \sqcap, \sqcup \rangle$ where each abstract string $\hat{s} \in \mathcal{S}$ denotes a set of concrete strings $\gamma(\hat{s}) \in \mathcal{P}(\Sigma^*)$ via a *concretisation function* γ such that $\hat{s} \sqsubseteq \hat{s}' \Rightarrow \gamma(\hat{s}) \subseteq \gamma(\hat{s}')$. Hence \sqsubseteq captures the relation “*is at least as precise as*” on \mathcal{S} .

Often we require that γ has a (lower) adjoint $\alpha : \mathcal{P}(\Sigma^*) \rightarrow \mathcal{S}$, the so-called *abstraction function*.² In this case, every k -ary “concrete operation” $f : \mathcal{P}(\Sigma^*)^k \rightarrow \mathcal{P}(\Sigma^*)$ has a unique optimal counterpart on \mathcal{S} , namely the “abstract operation” \hat{f} such that $\hat{f}(\hat{s}_1, \dots, \hat{s}_k) = (\alpha \circ f)(\gamma(\hat{s}_1), \dots, \gamma(\hat{s}_k))$.

Now suppose we have $n \geq 1$ string abstract domains $\langle \mathcal{S}_i, \sqsubseteq_i, \perp_i, \top_i, \sqcap_i, \sqcup_i \rangle$, each abstracting the concrete domain $\mathcal{P}(\Sigma^*)$. We can define their *direct product* as a structure $\langle \mathcal{S}, \sqsubseteq, \perp, \top, \sqcap, \sqcup \rangle$ such that:

$$\begin{aligned}
- \mathcal{S} &= \mathcal{S}_1 \times \dots \times \mathcal{S}_n \\
- (\hat{s}_1, \dots, \hat{s}_n) \sqsubseteq (\hat{s}'_1, \dots, \hat{s}'_n) &\iff \hat{s}_1 \sqsubseteq_1 \hat{s}'_1 \wedge \dots \wedge \hat{s}_n \sqsubseteq_n \hat{s}'_n \\
- \perp &= (\perp_1, \dots, \perp_n) \text{ and } \top = (\top_1, \dots, \top_n) \\
- (\hat{s}_1, \dots, \hat{s}_n) \sqcap (\hat{s}'_1, \dots, \hat{s}'_n) &= (\hat{s}_1 \sqcap_1 \hat{s}'_1, \dots, \hat{s}_n \sqcap_n \hat{s}'_n) \\
- (\hat{s}_1, \dots, \hat{s}_n) \sqcup (\hat{s}'_1, \dots, \hat{s}'_n) &= (\hat{s}_1 \sqcup_1 \hat{s}'_1, \dots, \hat{s}_n \sqcup_n \hat{s}'_n) \\
- \gamma(\hat{s}_1, \dots, \hat{s}_n) &= \bigcap_{i=1}^n \gamma_i(\hat{s}_i) \text{ and } \alpha(S) = (\alpha_1(S), \dots, \alpha_n(S))
\end{aligned}$$

The direct product simply captures an analysis which acts componentwise on the Cartesian product $\mathcal{S}_1 \times \dots \times \mathcal{S}_n$. A drawback of the direct product is that γ may not be injective, even if all of $\gamma_1, \dots, \gamma_n$ are. This may give rise to a not optimal, but still sound, precision of the analysis.

3 String Domains

This section summarises the string domains we have integrated in SAFE_{str}. We show how they behave in analysis of the programs from Figure 2, assuming that `lookup(obj, "123")` is called after `update(obj, "123", "foo")` on an initially empty object `obj`, in a context where `N` has an unknown value.

3.1 The String Set and Constant String domains

The *String Set* (\mathcal{SS}_k) enables precise representation of at most $k \geq 1$ concrete strings. Formally, $\mathcal{SS}_k = \{\top_{\mathcal{SS}_k}\} \cup \{S \in \mathcal{P}(\Sigma^*) \mid |S| \leq k\}$ and the lattice operations $\sqsubseteq_{\mathcal{SS}_k}, \sqcap_{\mathcal{SS}_k}, \sqcup_{\mathcal{SS}_k}$ correspond to \subseteq, \cap, \cup respectively ($\perp_{\mathcal{SS}_k} = \emptyset$).

The concretisation function is: $\gamma_{\mathcal{CS}}(S) = S$, if $S \neq \top_{\mathcal{SS}_k}$; Σ^* otherwise. The abstraction function is: $\alpha_{\mathcal{CS}}(S) = S$, if $|S| \leq k$; $\top_{\mathcal{SS}_k}$ otherwise. The abstract

² In this case α and γ form a *Galois connection*, i.e., $\alpha(S) \sqsubseteq \hat{s} \iff S \subseteq \gamma(\hat{s})$.

concatenation is $S \odot_{\mathcal{SS}_k} S' = \{s \cdot s' \mid s \in S, s' \in S'\}$. If the set resulting from an abstract operation exceeds k strings, $\top_{\mathcal{SS}_k}$ is returned.

One instance of \mathcal{SS}_k is the *Constant String (CS)* domain, which is able to represent a single concrete string exactly (i.e., $\mathcal{CS} = \mathcal{SS}_1$). Despite the limited expressive power, this domain is commonly used, as pointed out in [16].

The \mathcal{SS}_k domain is clearly more expressive than \mathcal{CS} , and for some analysis a well picked value of k can be enough for achieving high precision. Unfortunately, when analysing loops with an unknown number of iterations, it is often no more expressive. This is the case of the `update` function of Figure 2, where the abstract value of variable `x` becomes \top and thus string "foo" might potentially be assigned to any property of `obj`. As a consequence, `lookup(obj, "123")` returns not only "foo" but also *all* the properties of the prototype of `obj`.

3.2 The Character Inclusion domain

The *Character Inclusion (CI)* domain tracks the characters occurring in a string. Each abstract string has the form $[L, U] = \{X \in \mathcal{P}(\Sigma) \mid L \subseteq X \subseteq U\}$. The lower bound L contains the characters that *must* occur in the concrete string(s), while the upper bound U represents the characters that *may* appear.

Formally, $\mathcal{CI} = \{\perp_{\mathcal{CI}}\} \cup \{[L, U] \mid L, U \in \mathcal{P}(\Sigma), L \subseteq U\}$ and $[L, U] \sqsubseteq_{\mathcal{CI}} [L', U'] \iff L' \subseteq L \wedge U \subseteq U'$. The meet operation is $[L, U] \sqcap_{\mathcal{CI}} [L', U'] = [L \cup L', U \cap U']$ while the join is $[L, U] \sqcup_{\mathcal{CI}} [L', U'] = [L \cap L', U \cup U']$.

Let $chars : \Sigma^* \rightarrow \mathcal{P}(\Sigma)$ return the set of characters occurring in a string. The abstraction function is $\alpha_{\mathcal{CI}}(S) = [\bigcap C_S, \bigcup C_S]$, where $C_S = \{chars(w) \mid w \in S\}$, while $\gamma_{\mathcal{CI}}([L, U]) = \{w \in \Sigma^* \mid L \subseteq chars(w) \subseteq U\}$. Abstract concatenation is $[L, U] \odot_{\mathcal{CI}} [L', U'] = [L \cup L', U \cup U']$.

This domain completely ignores the structure of the concrete strings it approximates. But, \mathcal{CI} is in general computationally cheap and sometimes provides very useful information. For example, for the `update` function in Figure 2 we have that $\alpha_{\mathcal{CI}}(\mathbf{x}) = [\{1, 2, 3\}, \{0, 1, 2, 3\}]$. This information is enough to avoid the assignment of $\alpha_{\mathcal{CI}}(\text{"foo"})$ to all the properties of `obj` and to restrict the (string) return value of the `lookup` function to $\alpha_{\mathcal{CI}}(\text{"foo"}) = [\{\mathbf{f}, \mathbf{o}\}, \{\mathbf{f}, \mathbf{o}\}]$.³

3.3 The Prefix-Suffix domain

An element of the *Prefix-Suffix (PS)* domain is a pair $\langle p, s \rangle \in \Sigma^* \times \Sigma^*$, corresponding to all the concrete strings that start as p and end as s . The domain is $\mathcal{PS} = \{\perp_{\mathcal{PS}}\} \cup (\Sigma^* \times \Sigma^*)$. Let $lcp(S)$ (respectively $lcs(S)$) be the longest common prefix (suffix) of a set of strings S . Then $\langle p, s \rangle \sqsubseteq_{\mathcal{PS}} \langle p', s' \rangle \iff lcp(\{p, p'\}) = p' \wedge lcs(\{s, s'\}) = s'$, the join is $\langle p, s \rangle \sqcup_{\mathcal{PS}} \langle p', s' \rangle = \langle lcp\{p, p'\}, lcs\{s, s'\} \rangle$, and the meet $\sqcap_{\mathcal{PS}}$ is naturally induced by $\sqsubseteq_{\mathcal{PS}}$.

Abstraction is defined by $\alpha_{\mathcal{PS}}(S) = \langle lcp(S), lcs(S) \rangle$ while concretisation is $\gamma(\langle p, s \rangle) = \{p \cdot w \mid w \in \Sigma^*\} \cap \{w \cdot s \mid w \in \Sigma^*\}$. The abstract concatenation is $\langle p, s \rangle \odot_{\mathcal{PS}} \langle p', s' \rangle = \langle p, s' \rangle$.

³ This is actually the only possible *string* value. However, SAFE also tracks possible non-string results (such as the special value `undefined`).

The \mathcal{PS} domain can not keep track of concrete strings. Nonetheless, as for \mathcal{CL} , this domain is able to increase the precision of \mathcal{SS}_k . Indeed, for the `update` function we have that $\alpha_{\mathcal{PS}}(\mathbf{x}) = \langle \epsilon, 123 \rangle$ which allows to restrict the string return value of the `lookup` function to $\alpha_{\mathcal{PS}}(\text{"foo"}) = \langle \text{"foo"}, \text{"foo"} \rangle$.

3.4 The String Hash domain

The *String Hash* (\mathcal{SH}) domain was proposed by Madsen and Andreassen [16]. For some fixed integer range $U = [0, b]$ and hash function $h : \Sigma^* \rightarrow U$, a concrete string s is mapped into a “bucket” of U according to the sum of the character codes of s , i.e., $\alpha(S) = \bigcup_{s \in S} h(\sum_{c \in \text{chars}(s)} I(c))$ where $I : \Sigma \rightarrow \mathbb{N}$ maps a character of alphabet Σ to the corresponding code (e.g., ASCII or Unicode). The concretisation function is $\gamma_{\mathcal{SH}}(X) = \{s \in \Sigma^* \mid h(\sum_{c \in \text{chars}(s)} I(c)) \in X\}$.

The abstract concatenation requires the hash function to be distributive. A linear-time implementation is possible (see [16] for details). This is one of the main strengths of \mathcal{SH} , together with its ability to infer string disequality: if $\alpha_{\mathcal{SH}}(s) \sqcap_{\mathcal{SH}} \alpha_{\mathcal{SH}}(s') = \emptyset$ then we can safely conclude that $s \neq s'$.

Unfortunately, \mathcal{SH} can display slow convergence when analysing loops (in the worst case we may generate all elements of U before reaching a fixed point) and its precision appears limited. As with \mathcal{CS} and \mathcal{SS}_k , this domain loses all information when analysing the programs in Figure 2.

3.5 JavaScript-specific domains

The string domains we have seen so far are “general-purpose”, rather than tailored for specific applications. We now discuss three simple domains, \mathcal{UO} , \mathcal{NO} , and \mathcal{NS} , that constitute the bases for the string domains of the TAJ, SAFE, and JSAI static analysers. Although easily extensible to other languages, these domains are in fact JavaScript-specific.

The *Unsigned-or-Other* (\mathcal{UO}) domain used by TAJ (see Figure 3) discriminates between strings representing an *unsigned integer* and all the other JavaScript strings. TAJ uses this domain to better analyse array indexing. Note that if we concatenate two unsigned integers we do not necessarily get a valid unsigned integer since we might exceed the maximum unsigned integer $2^{32} - 1$. Also, if we concatenate an unsigned i with a string x we can still have i if $x = \epsilon$. However, concatenating two non-unsigned always results in a non-unsigned.

The *Number-or-Other* (\mathcal{NO}) domain used by SAFE (see Figure 4) is very similar to \mathcal{UO} : the only difference is that it discriminates between *numeric strings* and other strings. Literals like `-3`, `0.1`, or `NaN` are considered numeric strings. In this case the concatenation is even more imprecise: we can get a numeric string by concatenating two non-numeric strings (e.g., `"N"` and `"aN"`).

The *Number-Special-or-other* (\mathcal{NS}) domain used by JSAI (see Figure 5) generalises \mathcal{NO} by also distinguishing *special* JavaScript strings.⁴ Concatenating a

⁴ Namely, `length`, `concat`, `join`, `pop`, `push`, `shift`, `sort`, `splice`, `reverse`, `valueOf`, `toString`, `indexOf`, `lastIndexOf`, `constructor`, `isPrototypeOf`, `toLocaleString`, `hasOwnProperty`, and `propertyIsEnumerable`.

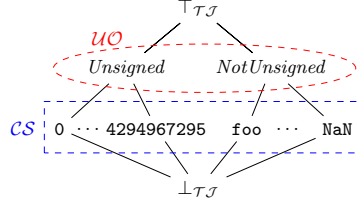


Fig. 3: TAJs string domain

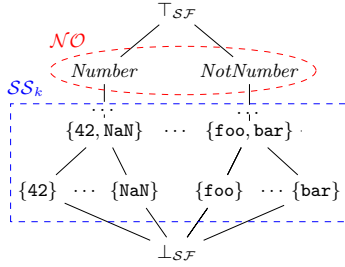


Fig. 4: SAFE string domain

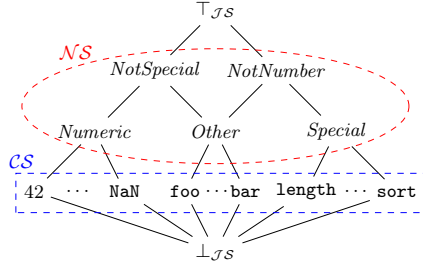


Fig. 5: JSAI string domain

special string with another special string or a numeric string always results in an “*Other*” string, i.e., a string neither special nor numeric. Concatenating a special string with *Other* always results in a non-numeric string.

Although these domains are useful to capture specific aspects of JavaScript they have little meaning when used stand-alone. In the next section we show how TAJs, SAFE, and JSAI combine them with the CS and SS_k lattices.

3.6 The TAJs, SAFE and JSAI domains

The string domains adopted by TAJs, SAFE, and JSAI are built respectively on top of the UO , NO , and NS domains from Section 3.5 in combination with the CS and SS_k domains from Section 3.1. The TJ domain used by TAJs is shown in Figure 3. First, the analysis is conducted with the constant domain CS . Then, when there is more than one constant string to track, TJ falls back to the UO domain trying to discriminate if all such strings are definitely unsigned or definitely not unsigned integers. If such a distinction is not possible (e.g., $-1 \sqcup_{TJ} 1$) then \top_{TJ} is returned.

The SF domain used by SAFE (Figure 4) uses a similar logic. The difference is that the analysis is conducted with the string set domain SS_k (for a certain value of $k \geq 1$) and then, when we have more than k constant strings to track, it falls back to the NO domain trying to discriminate if such strings are numeric or not. This is not a generalisation of TJ : indeed, let us suppose $k = 2$ and $S = \{\text{foo}, \text{bar}, -1\}$. We have $\alpha_{SF}(S) = \top_{SF}$ and thus $\gamma_{SF}(\alpha_{SF}(S)) = \Sigma^*$. Instead, $\alpha_{TJ}(S) = \text{NotUnsigned}$ so $\gamma_{TJ}(\alpha_{TJ}(S)) = \Sigma^* \setminus \{0, \dots, 4294967295\}$.

Being built on top of \mathcal{SS}_k , \mathcal{SF} is also parametric. When the set size is not specified, we will assume $k = 1$ (which is the default value in SAFE).

The \mathcal{JS} domain used by JSAI (Figure 5) acts analogously to \mathcal{SF} . However, like \mathcal{TJ} , a single constant string is tracked instead of a set of k strings. When we have more than one constant string to track, the \mathcal{JS} domain falls back to the \mathcal{NS} domain (which actually generalises \mathcal{NO} , so we can say that \mathcal{JS} generalises \mathcal{SF} if and only if $k = 1$ for the \mathcal{SS}_k domain of \mathcal{SF}).

Even if not strictly comparable, \mathcal{TJ} , \mathcal{SF} and \mathcal{JS} are very similar. Their JavaScript-driven nature is however not helpful for analysing the programs in Figure 2. Indeed, when we call `update(obj, "123", "foo")` we have that the abstract value of property x at the end of the loop is \top for both \mathcal{TJ} and \mathcal{SF} (as seen in Section 3.5, they lose all the information when concatenating two numbers) while $\alpha_{\mathcal{JS}}(x) = \text{NotSpecial}$. However, this information is not enough to prevent the return of all the properties of `obj` and its prototypes (except for those corresponding to the special strings) when `lookup(obj, "123")` is called.

3.7 Direct products and the Hybrid domain

So far we have seen several string domains, some general, some JavaScript specific. We observed that each has its strengths and weaknesses. A natural extension is to *combine* different string domains into a single, compound string domain that generalises them in order to improve the precision of the analysis.

In Section 2 we introduced the direct product $\mathcal{S} = \mathcal{S}_1 \times \dots \times \mathcal{S}_n$ for systematically composing n string domains. We can thus apply this definition for combining the string domains we have seen so far. Clearly, while the precision of \mathcal{S} is never lower than for a component domain \mathcal{S}_i , it may be the case that the direct product does not bring any benefit. For instance, $\mathcal{SH} \times \mathcal{TJ} \times \mathcal{SF} \times \mathcal{JS}$ is not beneficial for analysing the Examples 1 and 2. Conversely, $\mathcal{CI} \times \mathcal{PS}$ significantly increases the precision: if we consider $\alpha(x)$ as the abstraction of property x of Examples 1 and 2 we have $\alpha(x) = (\alpha_{\mathcal{CI}}(x), \alpha_{\mathcal{PS}}(x)) = (\{\{1, 2, 3\}, \{0, 1, 2, 3\}\}, \langle \epsilon, 123 \rangle)$, so by definition the corresponding concretisation is $\gamma(\alpha(x)) = \gamma_{\mathcal{CI}}(\alpha_{\mathcal{CI}}(x)) \cap \gamma_{\mathcal{PS}}(\alpha_{\mathcal{PS}}(x)) = \{x \cdot 123 \mid x \in \{0, 1, 2, 3\}^*\}$.

The *Hybrid* (\mathcal{HY}) string domain [16] is defined as the product of character inclusion, string set, and string hash: $\mathcal{HY} = \mathcal{CI} \times \mathcal{SS}_k \times \mathcal{SH}$. This domain appears to perform well, so we consider it in our evaluation of Section 5.

As mentioned in Section 2, the systematic combination via direct product does not always reach the optimal precision. For example, at first it may appear that $\mathcal{SF} = \mathcal{SS}_k \times \mathcal{NO}$ but this is not the case, as the following example shows.

Example 3. Consider the following JavaScript statement, where E is unknown:

```
x = "0"; if (E) x = x + "1";
```

If we approximate x with $\mathcal{SS}_1 \times \mathcal{NO}$ we have $(\{1\} \sqcup_{\mathcal{SS}_1} \{01\}, \text{Number} \sqcup_{\mathcal{NO}} \top_{\mathcal{NO}}) = (\top_{\mathcal{SS}_1}, \top_{\mathcal{NO}})$ after the statement. Conversely, even if the default \mathcal{SF} domain can not represent the set $\{0, 01\}$, it can infer from it that x is a *Number*. \square

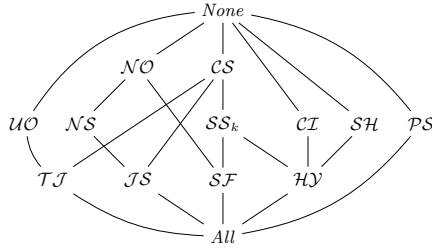


Fig. 6: String abstract domains

To avoid these precision leaks when combining different domains, the *reduced product* [9, 4] has been introduced as a refinement of the direct product.

Figure 6 concludes the section with a diagram summarising the string domains we have encountered so far. There is an upward edge between domain \mathcal{S} and domain \mathcal{S}' if and only if \mathcal{S} is never less precise than \mathcal{S}' .

4 Implementation

We now describe SAFE_{str} , the extension of the SAFE tool in which we have implemented all the string domains discussed in Section 3.

SAFE [15] is a static analyser for ECMAScript developed for the JavaScript community. We chose it as a starting point for our analyser because it is open-source, under active development, exhaustively implements the DOM semantics, and utilises loop-sensitive analysis.

The execution flow of SAFE is structured into three main parts. First, the input JavaScript program is parsed and translated into a simplified Abstract Syntax Tree (AST). Then, the AST is translated into an Intermediate Representation (IR). Finally, the IR is used to build the Control Flow Graph (CFG). The CFG is the best representation for tracing control flows of a program, and in fact is used by SAFE to perform a type-based analysis of JavaScript programs. SAFE is implemented in Scala (with some modules written in Java).

The static analysis performed by SAFE relies on the string abstract domain described in Section 3.6 to model primitive JavaScript strings as well as for the lookup and update of properties in abstract JavaScript objects. The user can tune the size k of the underlying \mathcal{SS}_k domain, but can not choose among other string domains. We therefore re-engineered and extended this tool to enable the user to combine all the domains described in Section 3. The resulting tool, SAFE_{str} , is a major extension of SAFE with improved usability, flexibility, and—as we shall see in Section 5—precision of the static analysis.

Table 1 lists the Scala classes that we have implemented in SAFE_{str} . The `AbsString` represents the *base* class, from which every other string domain inherits. `AbsString` has methods for the lattice operations (e.g., \sqcup , \sqcap , \sqsubseteq), for the abstraction/concretisation functions α and γ , for abstracting string operations

Class	Description	Class	Description
<code>AbsString</code>	Base class	<code>AbsStringHash</code>	SH
<code>AbsStringConst</code>	CS	<code>AbsStringSet</code>	SS_k
<code>AbsStringPrefSuff</code>	PS	<code>AbsStringCharIncl</code>	CI
<code>AbsStringUnsOth</code>	UO	<code>AbsStringTAJS</code>	TJ
<code>AbsStringNumOth</code>	NO	<code>AbsStringSAFE</code>	SF
<code>AbsStringNumSplOth</code>	NS	<code>AbsStringJSAI</code>	JS
<code>AbsStringProd</code>	Direct product of <code>AbsString</code> domains		

Table 1: Scala classes implementing string domains into `SAFEstr`

(e.g., concatenation, trimming, slicing) and for general utility (e.g., `toString` or `equals`). Each class that implements a string domain must be a subclass of `AbsString`, and possibly overrides its methods.

The new design of `SAFEstr` is suitable for combining different string domains. An important novelty is the `AbsStringProd` class—which is itself a subclass of `AbsString`—that allows the user to systematically combine an arbitrary collection of `AbsString` classes. `AbsStringProd` can be *specialised* for refining the direct product of different string domains (see Example 3). For example, the TJ , SF , and JS domains are now specialised subclasses of `AbsStringProd` since they actually combine other basic domains (as shown in Figures 3, 4, and 5). Furthermore, the \mathcal{HV} domain does not need to be implemented at all: it is enough to define it as an `AbsStringProd` object consisting of `AbsStringCharIncl`, `AbsStringSet`, and `AbsStringHash` domains.

We implemented the string domains in `SAFEstr` trying to be as un-intrusive as possible and to preserve the original structure of `SAFE`. In this we faced a number of design choices. For instance, `SAFE` analysis is not sound unless the target string domain is able to keep track of a single, concrete string. With `SAFEstr` it is trivial to ensure this by just adding (via direct product) a new constituent domain like CS or SS_k . Another crucial point for `SAFE` analysis is the ability to distinguish whether an abstract string is definitely numeric or not numeric. Again, with `SAFEstr` it is easy to enrich a given domain by composing it with NO or NS for discriminating numeric strings.

The `SAFEstr` tool can be imported into a Scala application or used as a stand-alone analyser from the command line. Notably, the user can choose and configure the string domains for an analysis run via command line options. `SAFEstr` is open-source and can be downloaded from <https://git.io/vPH9w>.

5 Evaluation

In this section we evaluate the string domains that we implemented in `SAFEstr`. The default configuration for `SAFEstr` tries to be as precise as possible. In particular, like `SAFE`, it uses a loop-sensitive analysis with a context-depth of 10 (see [18] for more details). While `SAFEstr` diverged from the version of `SAFE` in [17], we tried to resemble the evaluation environment as closely as possible.

We evaluated `SAFEstr` on two benchmark sets from the literature:⁵

- `JQUERY`, a set of 61 JavaScript programs from a `jQuery` tutorial⁶. All the programs of this benchmark, adopted also in [17], use `jQuery` version 1.7.0 without any modification.
- `JSAI`, a set of 11 JavaScript sources made available with the `JSAI` tool [13]. Because of their `JSAI`-specific modelling, we made some minor modifications to conform with `SAFEstr`. Seven programs of `JSAI` are Firefox browser add-ons, while the remaining four come from the `linq.js` project.⁷

We stress that the goal of the evaluation is not to assess the performance of different analysis tools. Rather, our focus is on evaluating (the composition of) different string domains *within* the `SAFEstr` environment. Note that we are comparing the implementation of `TAJS` and `JSAI` domains in `SAFEstr`, not the `TAJS` and `JSAI` tools themselves. A direct comparison with such tools is impracticable since a fair measurement of their performance requires knowledge, and modification, of their internals.

Measuring the precision within a complex static analysis framework like `SAFE` is inherently difficult. Simple metrics, such as runtime of the analysis or reachable program states provide glib information at best. To measure the overall performance we adopted three metrics—used in [17] and, with modifications, in [18]—that count ‘how much imprecision’ occurs during the static analysis. In more detail, the metrics are:

Multiple dereference (MD): The number of program points where dereferencing an abstract object leads to more than one object value.

Multiple call (MC): The number of program points where dereferencing an abstract function object leads to more than one function.

Non-concrete property access (PR): The number of program points where an object property is accessed with a non-concrete abstract string, i.e., with an abstract string representing an infinite set of concrete strings.

Static analysis of non-trivial programs often involves the handling of failures and timeouts. In particular, owing to the dynamic nature of JavaScript, a lack of static boundaries like types or modules can cause the imprecision to spread explosively, causing the analysis to become infeasible or its results to be unusable.

We devised a mechanism to possibly terminate the analysis early, thus avoiding getting stuck in a non-meaningful analysis. We use empirically determined bounds to trigger an “imprecision stop”, e.g., when the number of possible call targets for a function encountered during analysis becomes greater than 20.⁸

Unfortunately, since `MD`, `MC`, and `PR` do not have a reasonable upper bound, choosing a “penalty value” for these metrics when the analysis fails is not trivial.

⁵ All the benchmarks and the scripts we used are available at <https://git.io/vPH9w>

⁶ See <http://www.jquery-tutorial.net>

⁷ See <https://linqjs.codeplex.com/>

⁸ We noticed that imprecision stops only occurred in the analysis of `JQUERY`.

To overcome this problem, inspired by the MiniZinc Challenge [20], we defined a scoring system where we compare pairs of domains on each benchmark program.

Let \mathbb{P} be a benchmark set of programs and \mathbb{D} a collection of string domains. For each program $P \in \mathbb{P}$ and each domain $\mathcal{S} \in \mathbb{D}$ we define the *imprecision index* of \mathcal{S} on P as: $IMP_{\mathcal{S}}(P) = MD_{\mathcal{S}}(P) + MC_{\mathcal{S}}(P) + PR_{\mathcal{S}}(P)$, if the analysis of P using domain \mathcal{S} terminates normally; $IMP_{\mathcal{S}}(P) = \infty$ if the imprecision stop is triggered. Given two distinct domains \mathcal{S} and \mathcal{S}' we define a scoring function:

$$Score_{\mathcal{S}}(P, \mathcal{S}') = \begin{cases} 0 & \text{if } IMP_{\mathcal{S}}(P) = \infty \vee IMP_{\mathcal{S}}(P) > IMP_{\mathcal{S}'}(P) \\ 0.5 & \text{if } IMP_{\mathcal{S}}(P) = IMP_{\mathcal{S}'}(P) \neq \infty \\ 1 & \text{if } IMP_{\mathcal{S}}(P) < IMP_{\mathcal{S}'}(P) \end{cases}$$

Finally, the overall score of the domain \mathcal{S} on benchmark \mathbb{P} is the sum of each $Score_{\mathcal{S}}(P, \mathcal{S}')$ value, for each $P \in \mathbb{P}$ and for each $\mathcal{S} \in \mathbb{D}$ such that $\mathcal{S} \neq \mathcal{S}'$.

We analysed all the domains depicted in Figure 6. As mentioned in Section 4, because of the internal design of SAFE (which we did not want to modify), the static analysis in SAFE_{str} needs a string abstract domain able to track (at least) a single constant string. For each $\mathcal{S} \in \{\mathcal{PS}, \mathcal{CI}, \mathcal{SH}, \mathcal{NO}, \mathcal{NS}, \mathcal{JS}\}$ we therefore evaluated the domain extension $\overline{\mathcal{S}} = \mathcal{S} \times \mathcal{CS}$ instead of \mathcal{S} . Note that this did not require any additional effort, since SAFE_{str} allows the user to specify the preferred domain combination on the command line.

Similarly, instead of the original TAJ_S domain \mathcal{TJ} we actually considered $\mathcal{TJ}^* = \mathcal{TJ} \times \mathcal{NO}$. This is because the underlying \mathcal{UO} domain allows to discriminate only strings representing unsigned integers, but can not deal with numeric strings in general (e.g., floats or negative numbers). Since SAFE’s design relies heavily on the distinction between numeric and other strings, the \mathcal{TJ} domain is inevitably penalised when used by SAFE_{str}. This is arguably due to the SAFE structure, and not necessarily a weakness of TAJ_S. Thus, we took advantage of SAFE_{str} for automatically combining \mathcal{TJ} with \mathcal{NO} .

In addition, we evaluated the *All* baseline, i.e., the direct product of all the implemented domains, and a *new hybrid domain*, namely $\mathcal{HY}^* = \mathcal{CI} \times \mathcal{NO} \times \mathcal{SS}_k$. That is, we replace the more complex \mathcal{SH} domain of \mathcal{HY} by the simpler \mathcal{NO} . For \mathcal{HY}^* , as well as for \mathcal{SS}_k , we used the default set size of the \mathcal{HY} domain, $k = 3$. For \mathcal{SF} we instead used the default set size of SAFE, $k = 1$. As we shall see, the difference turned out to be irrelevant.

Table 2a shows the overall performance of the string domains. ALL is the union of JQUERY and JSAI, thus consisting of $61 + 11 = 72$ programs.⁹

The “Score” column summarises the overall score of each domain. We note that \mathcal{HY}^* has the same performance as *All*. Hence, at least for our benchmarks, it is sufficient to combine three simple domains, namely \mathcal{CI} , \mathcal{NO} , and \mathcal{SS}_k , to reach the same precision as the combination of all the domains. However, if we consider such domains independently the precision is far lower and often results in imprecision stops (especially for JQUERY, see the bottommost row of Table 2a). This shows the potential of combining different string domains.

⁹ We have run all the experiments with a timeout of $T = 600$ seconds on Ubuntu 15.10 machines with 16 GB of RAM and 2.60 GHz CPU.

Domain	Score			Fails [%]		
	JQUERY	JSAI	ALL	JQUERY	JSAI	ALL
<i>All, \mathcal{HY}^*</i>	418.5	99.0	517.5	49.2	18.2	44.4
<i>\mathcal{HY}</i>	337	58.5	395.5	52.5	18.2	47.2
<i>$\overline{\mathcal{CI}}$</i>	330	58.5	388.5	52.5	18.2	47.2
<i>$\mathcal{TJ}^*, \mathcal{SF}, \mathcal{JS}, \overline{\mathcal{NO}}, \overline{\mathcal{NS}}$</i>	154	99.0	253.0	68.9	18.2	61.1
<i>$\mathcal{CS}, \mathcal{SS}_k, \overline{\mathcal{PS}}, \overline{\mathcal{SH}}, \overline{\mathcal{UO}}$</i>	0	7.5	7.5	100	72.7	95.8

(a) Scores and fail percentages

Domain	JQUERY	JSAI	ALL
<i>\mathcal{HY}^*</i>	321.6	137.2	293.1
<i>All</i>	323	142.1	295.4
<i>\mathcal{HY}</i>	339.1	182.8	315.2
<i>$\overline{\mathcal{CI}}$</i>	339.7	179.6	315.6
<i>\mathcal{TJ}^*</i>	435	136.2	389.4
<i>$\overline{\mathcal{NS}}$</i>	435.8	140.0	390.6
<i>\mathcal{JS}</i>	436.4	136.9	390.6
<i>$\overline{\mathcal{NO}}$</i>	436.3	138.3	390.7
<i>\mathcal{SF}</i>	436.9	137.4	391.1

(b) Average runtimes (s)

Table 2: Performance of string domains

The \mathcal{HY}^* domain outperforms \mathcal{HY} . Why is replacing the String Hash domain by the Numeric-or-Other domain advantageous? In our context, \mathcal{SH} appears to be unfruitful, but the \mathcal{NO} domain is essential for detecting (non-)numeric strings. While that other \mathcal{HY}^* component, \mathcal{CI} , can be helpful in this regard (as noticed in Section 3.2), it is often not enough. For example, let \mathbf{x} be a variable representing a string in $S = \{-1, 0, 1\}$. Its abstraction is $\alpha_{\mathcal{CI}}(S) = [\emptyset, \{-, 0, 1\}]$, but this does not suffice to state that \mathbf{x} is a number (e.g., the string `-` belongs to $\alpha_{\mathcal{CI}}(S)$ but it is not a number). However, $\alpha_{\mathcal{NO}}(S) = \text{Number}$.

The benefits of \mathcal{NO} are noticeable especially for the JSAI benchmark, while for JQUERY, \mathcal{CI} remains important. \mathcal{CI} never causes a loss of precision in abstract concatenation, and this is very important, especially when concatenating an unknown string (as often happens when generating the `jQuery.expando` property). Overall, \mathcal{HY}^* scores better than \mathcal{HY} and \mathcal{CI} for 40 programs (31 of JQUERY and 9 of JSAI) and is never worse than any other domain.

The \mathcal{HY} domain is better than \mathcal{CI} for only seven programs of JQUERY. This is the only benefit that \mathcal{SS}_3 has brought to the analysis, compared to the constant domain \mathcal{CS} . We tried to investigate this aspect further, by performing a sensitivity analysis on the k parameter of \mathcal{SS}_k for all the domains we implemented, varying $k \in \{8, 16, 32, 64, 128\}$. No improvement was observed for larger k .

If we look at the domains used by TAJIS, SAFE, and JSAI, we observe a substantial equivalence. They are all very effective on the JSAI benchmark, but they have rather poor performance for the problems of JQUERY. We believe that this happens because these domains fail when concatenation involves an unknown string. Note that, in spite of Example 3 highlighting their difference, \mathcal{SF} and $\overline{\mathcal{NO}} = \mathcal{NO} \times \mathcal{CS}$ have identical performance. Similarly, \mathcal{JS} and $\overline{\mathcal{NS}}$ perform equally well.

Looking at the bottom of the table, apart from the aforementioned \mathcal{SH} , \mathcal{SS}_k and \mathcal{UO} , we see that \mathcal{PS} too has a rather poor performance. This was somewhat unexpected, considering the benefits seen in Examples 1 and 2. One explanation is that \mathcal{PS} is less precise than \mathcal{CI} when joining different abstract strings, and it loses all the information about the ‘inner’ structure of the string.

A curious drawback of \mathcal{PS} is that abstracting the empty string means losing all information, since $\alpha_{\mathcal{PS}}(\epsilon) = \langle \epsilon, \epsilon \rangle = \top_{\mathcal{PS}}$.

The “Fails” column of Table 2 shows, in percentage, the number of times the analysis failed due to imprecision stops or timeouts. Again in this case we see the advantage of combining the string domains. For example, while the analysis using the TAJIS, SAFE, or JSAI domains often fail, the \mathcal{HY}^* domain we introduced significantly improve on them (in particular for JQUERY benchmark). Nevertheless, even for \mathcal{HY}^* we still notice a remarkable number of cases (about 44%) where the analysis fails. This calls for further investigation.

Although in this work we are more concerned in the precision of the analysis, it is clear that also efficiency plays an important role. Table 2b reports the average analysis time, where we assign a penalty of $T = 600$ seconds when the analysis fails. We see that in this case \mathcal{HY}^* slightly *outperforms* the combination of all the domains. This is due to its lighter composition (only three domains). On average, the analysis with \mathcal{HY}^* takes about 100 seconds less than analysing programs with the TAJIS, SAFE, or JSAI domains.

Let us finally compare our evaluation with that of [16]. In that work, 12 string domains (including \mathcal{HY} , referred as \mathcal{H} in the paper) are proposed and compared. We note that, while the dynamic analysis evaluation of [16] is exhaustive, the static analysis evaluation is limited: it is performed on only 10 JavaScript programs (for which sources are not available) and \mathcal{HY} is only compared against the constant domain \mathcal{CS} (which is inherently less precise than \mathcal{HY}). The more comprehensive evaluation we provide in this paper in part confirms the good intuition of [16] of including the \mathcal{CI} domain within a collection of other domains.

6 Related Work

Our work has taken the SAFE framework [15] as inspiration and starting point. There are other well-engineered mature analysis frameworks such as TAJIS [11], WALA [19], and JSAI [13]. We chose SAFE because of its conformance with the latest ECMAScript standard, formal specification, loop-sensitivity [18], accessibility, and active development (SAFE 2.0 was released in October 2016).

The number of (string) abstract domains that have been proposed is surprisingly large. In [1, 2] the configurable program analysis (CPA) and the dynamic precision adjustment (CPA+) frameworks are introduced to make the analysis configurable and possibly improve its precision.

Many of the domains we have evaluated were discussed by Madsen and Andreassen [16] who cover 12 string domains, half of which were new. Costantini et al. [5, 7] discuss two domains whose product amounts to \mathcal{PS} , the \mathcal{CI} domain, and two additional (rather more complex) string domains. In the context of Java analysis, Choi *et al.* [3] have used restricted regular expressions as an abstract domain. Sets of strings are approximated by sets of “regular string expressions”. Such expressions are liberally defined and allow for nesting of Kleene stars. However, regular expressions of the form r^* cannot be juxtaposed. So while a^*ab^* is a valid regular string expression, aa^*b^* is not, and the latter, should it arise, will

effectively be “flattened” into the coarser $a(a + b)^*$. Excessive nesting of stars is curbed through widening, which similarly flattens expressions at a certain star-depth.

Park, Im and Ryu [17] use a stricter variant of this idea, with a more clearly defined string abstract domain. Here sets of strings are approximated by sets of “atomic” regular expressions. A regular expression is atomic (over alphabet $\Sigma = \{a_1, \dots, a_n\}$) iff it can be generated by the grammar

$$S \rightarrow \epsilon \mid \Sigma^* \mid A S \mid \Sigma^* A S \quad A \rightarrow a_1 \mid \dots \mid a_n$$

Quotes indicate that ϵ and Σ^* are not meta-symbols, but terminals. This abstract domain is more restrictive than that of Choi *et al.* [3]. What is gained by this is faster analysis, and in particular tractability of the inclusion relation.

The number and richness of different string abstract domains provides a rich seam for experimental work and comparative evaluation. In spite of that, the number of systematic studies is very limited. An exception is the work by Madsen and Andreasen [16] which, in the static analysis evaluation, compares the precision of \mathcal{HY} -based analysis against \mathcal{CS} .

7 Conclusion

We have presented SAFE_{str} , an extension of the SAFE JavaScript static analysis tool. SAFE_{str} provides support for a number of string analysis domains, as well as for analysis using arbitrary combinations of these domains. Precise string analysis is of paramount importance in a programming language like JavaScript, because almost any other kind of analysis relies heavily on the quality of string analysis to aid it; without precise string analysis, control and data flow information is weak; for example, field access becomes ambiguous. The required precision is ultimately achieved through the combination of a variety of string domains, each capturing some relevant aspect of strings and, accordingly, the literature is replete with proposals for string abstract domains.

We have used SAFE_{str} to conduct the first systematic comparison of a broad range of such string abstract domains for the static analysis of JavaScript programs. We have measured precision and analysis time over two established benchmark sets. The results suggest that there is little value in maintaining string sets (elements of \mathcal{SS}_k) of cardinality $k > 3$; and that the relatively simple combination $\mathcal{CI} \times \mathcal{NO} \times \mathcal{CS}$ achieves higher precision than the various combinations proposed elsewhere—in fact, for our sets of benchmarks, it achieves as high precision as the combination of *all* of the string domains we have studied.

Future work will focus on the evaluation, and the combination, of new domains over new benchmarks. In particular, we wish to compare the use of direct products with reduced products [9] of string abstract domains.

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