### Group Representation Theory in Radar

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### Outline



### 2 Radar

- How it Works
- The Ambiguity Function
- 8 Representations and Radar
  - Multiplier Representations
  - Two Key Theorems
  - Deduced Properties of Ambiguity Functions
  - Summary

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### **Motivation**

#### I'm Interested in Radar...

Group representation theory provides a better understanding of the inherent limitations of the performance of a radar system.

#### I'm Interested in Group Representation Theory...

Studying radar leads one to the Heisenberg group, the Stone-von Neumann Theorem, the Bargmann-Segal representation, Hermite and Laguerre polynomials, and more.

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### Radar

#### RAdio Detection And Panging

An electronic device designed to detect objects, and calculate their distance and/or speed.

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How it Works The Ambiguity Function

#### How a Radar Works Measuring Distance

• The radar transmits a pulse and starts a timer.

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- The radar transmits a pulse and starts a timer.
- We assume the pulse hits an object and is reflected.
- When the reflected pulse arrives back at the radar, the timer is stopped.
- A simple calculation involving the speed of light and the time taken for the pulse to return enables the distance from the radar to the object to be determined.

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- At time *t*, assume a moving object is at position x(t) = vt.
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- The reflected signal measured at the origin is  $r(t) = \sin\left(2\pi \frac{1-\nu}{1+\nu} ft\right)$ . (Relativistic effects neglected.)

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- By computing the change in frequency (Doppler effect), the speed of the object can be calculated.

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### **Pulse Design**

- The received signal will always be corrupted by noise.
- To measure distance accurately in the presence of noise, want the pulse to be localised in time.
- To measure speed accurately in the presence of noise, want the pulse to be localised in frequency.
- Moreover, there are practical limitations on the bandwidth and duration of a pulse.
- What is the best pulse to use?

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How it Works The Ambiguity Function

### Narrowband Pulse

- Transmit a pulse w(t) modulated onto a much higher carrier frequency:  $s(t) = w(t) \sin(2\pi f_c t)$ .
- If it hits an object at distance *d* with speed *v*, then approximately w(t) becomes w(t-2d) and the frequency  $f_c$  becomes  $\frac{1-v}{1+v}f_c \approx (1-2v)f_c$ .
- After demodulation (and working in the complex domain), the received signal is  $r(t) = w(t 2d)e^{-4\pi i v f_c t}$ .

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- After demodulation (and working in the complex domain), the received signal is  $r(t) = w(t 2d)e^{-4\pi i v f_c t}$ .
- Given a noisy version of r(t), estimate d and v.

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How it Works The Ambiguity Function

### How to Estimate Range and Doppler

- Given  $r(t) = w(t 2d)e^{-4\pi i v f_c t} + n(t)$  where n(t) is noise.
- To estimate *d* and *v*, we correlate ("compare") r(t) with  $u(t; d', v') = w(t 2d')e^{-4\pi i v' f_c t}$ .

$$C(d',v') = \left| \int_{-\infty}^{\infty} r(t) \overline{u(t;d',v')} \, dt \right|$$

 By plotting the intensity of C(d', v') on the (d', v') plane, the point (d', v') where C(d', v') is the largest can be found visually. This is our estimate of the distance and speed of the object.

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- By plotting the intensity of C(d', v') on the (d', v') plane, the point (d', v') where C(d', v') is the largest can be found visually. This is our estimate of the distance and speed of the object.
- Ideally we want C(d', v') to be a sharp pulse centred on (d, v). How do we design the pulse w(t) to achieve this?

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# The Ambiguity Function

#### Definition

Given two waveforms w(t), v(t), (one form of) the radar ambiguity function is

$$A_{w,v}(t,f) = \int_{-\infty}^{\infty} w(\tau) e^{2\pi i f \tau} \overline{v(\tau-t)} \, d\tau.$$

- The interpretation is that we transmit the waveform w(t), it bounces off a stationary object located at the radar, hence we receive w(t). We correlate w(t) with a time and frequency shifted version of v(t), forming A<sub>w,v</sub>(t, f).
- If |A<sub>w,w</sub>(t, f)| is a sharp peak centred at the origin, then w(t) is a good waveform.

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#### The Ambiguity Function Questions

- What does the set of all  $A_{w,w}$  look like, where  $w \in L^2(\mathbb{R})$ ?
- How close can A<sub>w,w</sub> be made to a sharp peak?
- What if the set of possible *w* is restricted to being "implementable in practice"?

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#### The Ambiguity Function Questions

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- What if the set of possible *w* is restricted to being "implementable in practice"?
- To attempt to answer these questions, we can ask:
  - Are any symmetries present? (Given that A(t, f) is an ambiguity function, for what functions  $\alpha : \mathbb{R}^2 \to \mathbb{R}^2$  is it true that  $A(\alpha(t, f))$  is also an ambiguity function?)
  - Is there an orthonormal basis  $w_1, w_2, \cdots$  of  $L^2(\mathbb{R})$  such that  $A_{w_i, w_i}$  are "nice"?

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Multiplier Representations Two Key Theorems Deduced Properties of Ambiguity Functions Summary

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### Representations and Radar

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- Two Key Theorems
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Multiplier Representations Two Key Theorems Deduced Properties of Ambiguity Functions Summary

A Suggestive Expression for the Ambiguity Function

Let ⟨·, ·⟩ denote the inner product on L<sup>2</sup>(ℝ):

$$\langle \boldsymbol{w}, \boldsymbol{v} \rangle = \int_{-\infty}^{\infty} \boldsymbol{w}(t) \overline{\boldsymbol{v}(t)} \, dt.$$

- Let  $\rho_{(t,f)} : L^2(\mathbb{R}) \to L^2(\mathbb{R})$  denote the unitary operator  $\rho_{(t,f)}(v)(\tau) = e^{-2\pi i f \tau} v(\tau t).$
- Then  $A_{w,v}(t, f) = \langle w, \rho_{(t,f)} v \rangle$ .

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- Then  $A_{w,v}(t, f) = \langle w, \rho_{(t,f)} v \rangle$ .
- If ρ were a group representation of R<sup>2</sup> then A would be a special function on R<sup>2</sup>.

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## Representations

- We consider ℝ<sup>2</sup> in ρ : ℝ<sup>2</sup> × L<sup>2</sup>(ℝ) → L<sup>2</sup>(ℝ) as a group (the additive group).
- For g ∈ ℝ<sup>2</sup>, ρ<sub>g</sub> is a unitary operator on L<sup>2</sup>(ℝ), i.e. it belongs to the unitary group.
- A (continuous) homomorphism from a (topological) group to a unitary group is a *unitary representation*.

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- A (continuous) homomorphism from a (topological) group to a unitary group is a *unitary representation*.
- However,  $\rho_{(t+t',f+f')} = e^{-2\pi i f' t} \rho_{(t,f)} \rho_{(t',f')}$ , so it fails to be a representation only in that there is the scalar  $e^{2\pi i f' t}$  present.

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- In fact, it is a *multiplier representation*.

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### **Multiplier Representations**

- A multiplier is a Borel map σ : G × G → T (T is group of complex numbers with magnitude 1) satisfying the cocycle condition σ(g<sub>1</sub>, g<sub>2</sub>)σ(g<sub>1</sub>g<sub>2</sub>, g<sub>3</sub>) = σ(g<sub>1</sub>, g<sub>2</sub>g<sub>3</sub>)σ(g<sub>2</sub>, g<sub>3</sub>) and the normalisation σ(1, 1) = 1.
- A multiplier representation is a Borel-measurable map  $\rho$  from *G* to the unitary group of some Hilbert space that satisfies  $\rho_{g_1g_2} = \sigma(g_1, g_2)\rho_{g_1}\rho_{g_2}$  for some multiplier  $\sigma$ .
- Note the cocycle condition is natural:  $\rho_{(g_1g_2)g_3} = \rho_{g_1(g_2g_3)}$ hence  $\sigma(g_1g_2, g_3)\sigma(g_1, g_2)\rho_{g_1}\rho_{g_2}\rho_{g_3} = \sigma(g_1, g_2g_3)\sigma(g_2, g_3)\rho_{g_1}\rho_{g_2}\rho_{g_3}$ .

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## Multiplier Representations Are Natural

- The theory of multiplier representations is essentially the theory of projective representations. (Cohomologous multipliers have essentially the same representation theory.)
- If one uses "Mackey analysis" to construct ordinary representations of a (locally compact) group *G*, one needs to consider projective representations of subgroups of *G*.
- If we extend the Mackey analysis to construct projective representations, then we still only have to consider projective representations of subgroups of *G*.
- Hence, projective representations (and thus multiplier representations) form a natural completion of the class of ordinary representations. Besides, radar theory shows they should exist!

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# The Heisenberg Group

Multiplier Representations Are Representations Of A Larger Group

• Let  $\rho$  be a  $\sigma$ -representation of a group *G*.

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# The Heisenberg Group

Multiplier Representations Are Representations Of A Larger Group

- Let  $\rho$  be a  $\sigma$ -representation of a group *G*.
- Form a new group  $\tilde{G}$  whose elements are pairs [g, z] with  $g \in G$  and  $z \in T$ , and  $[g, z][g', z'] = [gg', zz'\sigma(g, g')]$ .

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- The group  $\tilde{G}$  is a *central extension* of G by the group T.

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- The group  $\tilde{G}$  is a *central extension* of G by the group T.
- Then  $\pi([g, z]) = \overline{z}\rho_g$  is an ordinary representation of  $\tilde{G}$ .

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- Then  $\pi([g, z]) = \overline{z} \rho_g$  is an ordinary representation of  $\tilde{G}$ .
- For the  $\rho$  in radar,  $\tilde{G}$  is the Heisenberg group.

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- The group  $\tilde{G}$  is a *central extension* of G by the group T.
- Then  $\pi([g, z]) = \overline{z} \rho_g$  is an ordinary representation of  $\tilde{G}$ .
- For the  $\rho$  in radar,  $\tilde{G}$  is the Heisenberg group.
- There is an exact correspondence between the  $\sigma$ -representation theory of *G* and the ordinary representation theory of those representations of  $\tilde{G}$  that restrict on the central subgroup *T* to be the homomorphism  $[g, z] \rightarrow \overline{z}I$ .

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#### Two Key Theorems

#### Stone-von Neumann Theorem

The  $\sigma$ -representation  $\rho$  is irreducible, and it is the unique irreducible  $\sigma$ -representation of  $\mathbb{R}^2$  up to equivalence.

#### Moyal's Identity

$$\langle A_{u,v}, A_{u',v'} \rangle_{L^2(\mathbb{R}^2)} = \langle u, u' \rangle \langle v, v' \rangle$$

which implies the "Heisenberg uncertainty principle"

$$\|A_{w,w}\|_{L^2(\mathbb{R}^2)} = \|w\|^2.$$

Indeed,  $A_{w,w}(0,0) = ||w||^2$  but this is also its  $L^2$ -norm, hence  $A_{w,w}$  has considerable spread.

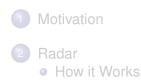
#### The Bargmann-Segal Representation

- Radar gives us a  $\sigma$ -representation of  $\mathbb{R}^2$  on  $L^2(\mathbb{R})$ .
- Consider instead the (reproducing kernel) Hilbert space of entire functions a(z) = ∑<sub>n=0</sub><sup>∞</sup> a<sub>n</sub>z<sup>n</sup> satisfying ∑<sub>n</sub> n!|a<sub>n</sub>|<sup>2</sup> < ∞, with inner product ⟨a, b⟩ = ∑<sub>n</sub> n!a<sub>n</sub> b<sub>n</sub>.
- An orthonormal basis is  $z^k/\sqrt{k!}$  for  $k = 0, 1, \cdots$ .
- We can write down an explicit *σ*-representation of R<sup>2</sup> on this new space. It is irreducible, hence equivalent to the *σ*-representation on L<sup>2</sup>(R).
- Moreover, the resulting isometry between the two Hilbert spaces maps the Hermite functions in L<sup>2</sup>(ℝ) to z<sup>k</sup>/√k!. Hence, this is a more convenient representation to use if Hermites are involved.

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# Outline



The Ambiguity Function

#### 8 Representations and Radar

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- Two Key Theorems
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#### Ambiguity Functions of the Hermites

- Let  $v_n$  denote the *n*th Hermite function, which up to scale is  $e^{-t^2/2}H_n(t)$  and  $H_n(t)$  is the *n*th Hermite polynomial.
- We wish to calculate  $A_{v_n, v_m}$ . Very difficult to do from first principles.
- By using the Bargmann-Segal Representation instead, can deduce that the  $A_{\nu_n,\nu_m}$  are the Laguerre polynomials.

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- By using the Bargmann-Segal Representation instead, can deduce that the  $A_{v_n,v_m}$  are the Laguerre polynomials.
- If we weren't thinking of A<sub>ν<sub>n</sub>,ν<sub>m</sub></sub> as ⟨v<sub>n</sub>, ρv<sub>m</sub>⟩ then we wouldn't have thought to try the Bargmann-Segal representation to simplify the calculations.

# Symmetries of Ambiguity Functions (I)

- (We change to an equivalent multiplier ν which only affects the phase of A<sub>ν,w</sub>.)
- We want to look for symmetries: Find functions α such that
  i) A<sub>V,W</sub>(α(t, f)) is a valid ambiguity function (= A<sub>V',W'</sub>(t, f)),
  or ii) A<sub>V,W</sub>(α(t, f)) = A<sub>V,W</sub>(t, f).

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- The group of continuous automorphisms  $\alpha$  which preserve  $\nu$ , i.e.  $\nu(\alpha(t, f), \alpha(t', f')) = \nu((t, f), (t', f'))$ , is precisely SL(2,  $\mathbb{R}$ ).

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#### Symmetries of Ambiguity Functions (II)

 Hence, if α ∈ SL(2, ℝ) and ρ is an irreducible ν-representation of ℝ<sup>2</sup>, then ρ ∘ α is also an irreducible ν-representation.

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- It lifts to a unitary representation of the double covering  $\widetilde{SL(2,\mathbb{R})}$  of  $SL(2,\mathbb{R})$  called the *metaplectic representation*.
- This fact has not really been exploited in radar theory yet.

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How it Works

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#### Summary

- A mathematical study of radar quickly leads to interesting and deep questions in group representation theory.
- The ambiguity function A<sub>w,w</sub> associated with a waveform w(t) tells us how good the waveform is at locating an object.
- The connection is that A<sub>w,w</sub>(t, f) = ⟨w, ρ<sub>(t,f)</sub>w⟩ where ρ is a multiplier representation of ℝ<sup>2</sup>.
- Although much has been done, it is likely very deep results in representation theory will lead to new results in radar theory.

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